



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re:

Applicants: Tanner et al.

Atty. Docket No.: RPS6097-US

Serial No.: 10/016,352

Examiner: Leyson, Joseph

Filing Date: November 2, 2001

Art Unit: 1722

Entitled: APPARATUS AND METHOD FOR MANUFACTURING ENCAPSULATED PRODUCTS

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

Date of Deposit: 3-10-04

I hereby certify that this Assignment cover sheet, recordation cover sheet and Assignment are being deposited with the U.S. Postal Service, as first class mail, postage prepaid, to: Commissioner for Patents, MAIL STOP – IDS (FEE), P.O. Box 1450, Alexandria, Virginia 22313-1450.

Jennifer Warner

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

As authorized and encouraged under 37 C.F.R. §§1.97-1.98 and the provisions of MPEP §§609 and 707.05(b), Applicants herewith certain patent references, publications and/or other information which the Patent and Trademark Office may wish to consider in examining the above-identified patent application. With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner to supplement the IDS filed August 21, 2002.

These additional references are reported from a search conducted by the PCT dated February 13, 2004.

This Supplemental IDS is being filed before the mailing date of a final action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application and is accompanied by an Authorization to Charge Deposit Account 50-0256 in the sum of \$180.00.

03/15/2004 HVUJONG1 00000154 500256 10016352

01 FC:1806 180.00 DA

Applicants are submitting this art because they recognize their duty to disclose pursuant to 37 C.F.R. §1.56. However, submission of this art and Statement is not an admission that any item identified contains matter that anticipates the invention or that renders the invention obvious to a person of ordinary skill in the art. Further, Applicants believe that none of the art submitted herewith, alone or in proper combination, discloses, teaches or suggests the invention claimed by Applicants.

No representation is made that a reference constitutes prior art within the meaning of 35 U.S.C. §102 and §103 and Applicants reserve the right, pursuant to 37 C.F.R. §1.131 or otherwise to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

#### **U.S. PATENT DOCUMENTS**

<b>U.S. PATENT NUMBER</b>	<b>INVENTOR(S)</b>
3,010,147	Davies et al.
3,680,997	Dukert et al.
4,289,718	Vollbrecht et al.
4,631,016	Hay, II
4,919,308	Majkrzak
5,520,958	Doesburg et al.
5,660,922	Herridge et al.
5,833,904	Muskalla et al.

#### **FOREIGN PATENT DOCUMENTS**

None

#### **OTHER DOCUMENTS**

None

Respectfully submitted,

Date: March 9, 2004

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**FORM PTO-1449 TO BE FILED WITH THE  
INFORMATION DISCLOSURE STATEMENT**

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.: RPS6097-US Serial No.: 10/016,352

Tanner et al.  
Applicant

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

November 2, 2001  
Filing Date

1722  
Group Art Unit

Joseph Leyson  
Examiner's Name

**U.S. PATENT DOCUMENTS**

<u>Examiner's Initials</u>	<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>	<u>Class/Sub-class</u>
	3,010,147	Nov. 28, 1961	Davies et al.	18-8
	3,680,997	Aug. 1, 1972	Dukert et al.	425/381
	4,289,718	Sep. 15, 1981	Vollbrecht et al.	264/50
	4,631,016	Dec. 23, 1986	Hay, et al.	425/224
	4,919,308	Apr. 24, 1990	Majkrzak	222/146
	5,520,958	May 18, 1996	Doesburg et al.	427/316
	5,660,922	Aug. 26, 1997	Herridge et al.	428/214
	5,833,904	Nov. 10, 1998	Muskalla et al.	264/178

**FOREIGN PATENT DOCUMENTS**

None

**OTHER DOCUMENTS**

None

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

The identification of any document herein is not intended to be, and should not be understood as being an admission that each such document, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given document may have a later effective date than at first seems apparent or the document may have an effective date which can be antedated. The "prior art" status of any document is a matter to be resolved during prosecution.



Imayc 1722

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Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 180.00

## Complete if Known

Application Number	10/016,352	11/02/2001
Filing Date	10/28/2002	INTOLIAH JEW
First Named Inventor	Keith Tanner	
Examiner Name	Joseph Leyson	
Art Unit	1722	
Attorney Docket No.	RPS6097-US	

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 50-0256  
Deposit Account Name: Cardinal Health, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ )			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 180.00

## SUBMITTED BY

Name (Print/Type)	Donald O. Nickey	Registration No. (Attorney/Agent)	29,092	Telephone	614-757-5542
Signature	Donald O. Nickey	Date	March 10, 2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.